# Automatic Evaluation of Machine Translation Quality

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Joint work with:

Jesús Giménez, Lluís Formiga and Meritxell Gonzàlez



- 2 Linguistically-motivated Measures
- 3 Intelligent MT output and error analysis
- Quality Estimation



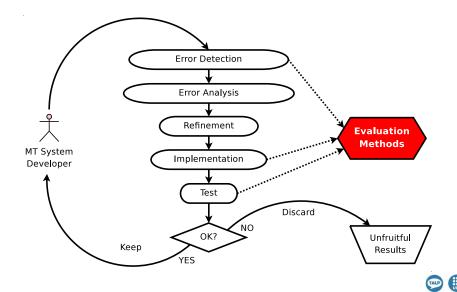
## Talk Overview



- 2 Linguistically-motivated Measures
- Intelligent MT output and error analysis
- 4 Quality Estimation



### MT System Development Cycle



## Difficulties of MT Evaluation

- Machine Translation is an open NLP task
  - ⇒ the *correct translation* is not unique
  - $\Rightarrow$  the set of valid translations is not small
  - $\Rightarrow$  translation correctness is not black and white
- Quality aspects are *heterogeneous* 
  - $\Rightarrow$  Adequacy (or Fidelity)
  - $\Rightarrow$  Fluency (or Intelligibility)
  - $\Rightarrow$  Post-editing effort (time, key strokes, ...)
  - ⇒ ...
- Manual vs. automatic evaluation



#### Setting:

⇒ Compute similarity between system's output and one or several reference translations

⇒ The similarity measure should be able to discriminate whether the two sentences convey the same meaning (semantic equivalence)



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- Precision
   BLEU, NIST, WNM
- Recall
   ROUGE, CDER
- Precision/Recall GTM, METEOR, BLANC, SIA



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• BLEU has been widely accepted as a 'de facto' standard



## IBM BLEU metric

BLEU: a Method for Automatic Evaluation of Machine Translation Kishore Papineni, Salim Roukos, Todd Ward, Wei-Jing Zhu IBM Research Division

"The main idea is to use a weighted average of variable length phrase matches against the reference translations. This view gives rise to a family of metrics using various weighting schemes. We have selected a promising baseline metric from this family."



## IBM BLEU metric

Conclusions of the paper (Papineni et al., 2001)

- BLEU correlates with human judgements
- It can distinguish among similar systems
- Need for multiple references or a big test with heterogeneous references
- More parametrisation in the future



## Benefits of Automatic Evaluation

Compared to manual evaluation, automatic measures are:

Cheap (vs. costly)
Objective (vs. subjective)
Reusable (vs. not-reusable)

Automatic evaluation metrics have notably accelerated the development cycle of MT systems

Error analysisSystem optimizationSystem comparison



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Error analysis
 System optimization
 System comparison



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- Solution State State
- Output Stress of the system comparisons → when metrics are unable to reflect difference in quality between MT systems



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- **Blind system development** → when metrics are unable to capture actual system improvements
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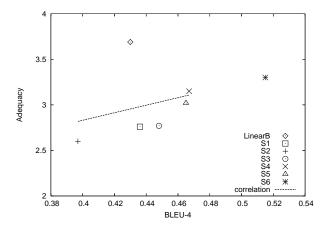


- Lexical similarity is nor a *sufficient* neither a *necessary* condition so that two sentences express the same meaning (Culy and Riehemann, 2003; Coughlin, 2003; Callison-Burch et al., 2006)
- The reliability of lexical metrics depends very strongly on the heterogeneity/representativity of reference translations
- Lexical metrics have problems distinguishing MT output from fully fluent and adequate translations obtained from them through professional postediting (Denkowski and Lavie, 2012)



#### NIST 2005 Arabic-to-English Exercise

(Callison-Burch et al., 2006; Koehn and Monz, 2006)

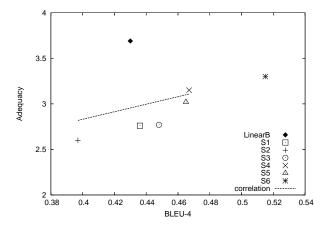




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- ⇒ n-gram based metrics favor MT systems which closely replicate the lexical realization of the references
- ⇒ Test sets tend to be similar (domain, register, sublanguage) to training materials
- $\Rightarrow$  Statistical MT systems heavily rely on the training data
- ⇒ Statistical MT systems tend to share the reference sublanguage and be favored by n-gram based measures



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## Talk Overview



2 Linguistically-motivated Measures

Intelligent MT output and error analysis

#### 4 Quality Estimation



### Can we do better?

- 1. Compare to a very large set of references
  - HyTER (Dreyer and Marcu, 2012)
    - ⇒ Construct for every test case a compact network encoding an exponentially large number of meaning equivalent reference translations
    - ⇒ Compute a TER-based similarity over the whole set of translation equivalents
    - $\Rightarrow$  HyTER correlates much better with human assessments
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### Can we do better?

- 2. Generalize over lexical matching
  - Lexical variants
    - ⇒ Morphological information (i.e., stemming) ROUGE and METEOR
    - ⇒ Synonymy lookup: METEOR (based on WordNet)
  - Paraphrasing support:
    - ⇒ (Zhou et al., 2006; Kauchak and Barzilay, 2006; Owczarzak et al., 2006)
    - $\Rightarrow$  Recent versions of METEOR, TER



## Similarity Measures Based on Linguistic Features

- 3. More linguistically-motivated measures
  - Features capturing syntactic and semantic information
  - Shallow parsing, constituency and dependency parsing, named entities, semantic roles, textual entailment, discourse representation
  - Very extense bibliography in the last years Check (Giménez and Màrquez 2010) for a survey



## Some Examples of Linguistically Motivated Measures

- Expected Dependency Pair Match (Kahn, Snover and Ostendorf, 2009)
  - $\Rightarrow$  dependency parsing (PCFG + head-finding rules)
  - $\Rightarrow$  precision and recall scores of various tree decompositions
  - $\Rightarrow$  +synonymy +paraphrasing
- MaxSim (Chen and Ng; 2008)
  - $\Rightarrow$  a general framework for arbitrary similarity functions
  - $\Rightarrow$  dependency relations, lemma, parts of speech, synonymy
  - $\Rightarrow$  bipartite graph to obtain an optimal matching between items
- RTE (Padó, Galley, Jurafsky and Manning, 2009)
  - $\Rightarrow$  semantic equivalence based on textual entailment features
  - ⇒ alignment, semantic compatibility, insertion/deletion, preservation of reference and structural alignment



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Work at UPC with Jesús Giménez

Rather than comparing sentences at lexical level:

Compare the linguistic structures and the words within them

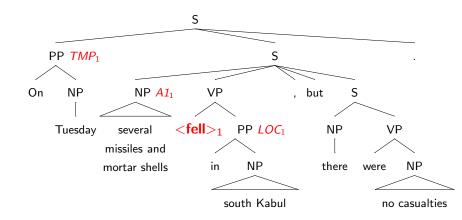




Automatic	On Tuesday several missiles and mortar
Translation	shells fell in south Kabul , but there were
	no casualties .
Reference	Several rockets and mortar shells fell today ,
Translation	Tuesday , in south Kabul without causing any
	casualties .



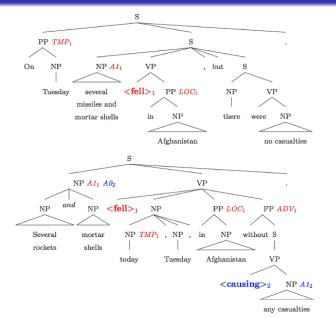
## Our Approach





## Our Approach

#### (Giménez & Màrquez, 2010)



 $\clubsuit$ 

## Measuring Structural Similarity

- OVERLAP: generic similarity measure among Linguistic Elements. Inspired by the Jaccard similarity coefficient
- Linguistic element (LE) = abstract reference to any possible type of linguistic unit, structure, or relationship among them
  - $\Rightarrow$  For instance: POS tags, word lemmas, NPs, syntactic phrases
  - ⇒ A sentence can be seen as a bag (or a sequence) of LEs of a certain type
  - $\Rightarrow$  LEs may embed

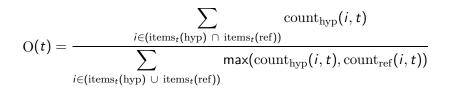


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# Overlap among Linguistic Elements

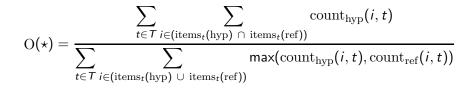


*t* is the LE type 'hyp': hypothesized translation 'ref': reference translation  $tems_t(s)$ : set of items occurring inside LEs of type *t*  $count_s(i, t)$ : occurrences of item *i* in *s* inside a LE of type *t* 



# Overlap among Linguistic Elements

#### Coarser variant: micro-averaged overlap over all types



T: set of all LE types associated to the given LE class



# Overlap/Matching among Linguistic Elements

#### • Matching is a similar but more strict variant

- $\Rightarrow$  All items inside an element are considered the same unit
- ⇒ Computes the proportion of fully translated LEs, according to their types
- Other possible extensions:
  - $\Rightarrow$  *n*-gram matching within LEs
  - $\Rightarrow$  Synonymy lookup



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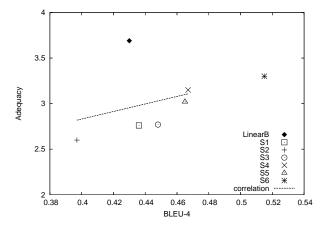
# Overlap/Matching among Linguistic Elements

- Overlap and Matching have been instantiated over different linguistic level elements (for English)
  - $\Rightarrow$  Words, lemmas, POS
  - $\Rightarrow$  Shallow, dependency and constituency parsing
  - $\Rightarrow$  Named entities and semantic roles
  - $\Rightarrow$  Discourse representation (logical forms)



NIST 2005 Arabic-to-English Exercise

(Callison-Burch et al., 2006; Koehn and Monz, 2006)





Level	Metric	$ ho_{all}$	ρ <sub>SMT</sub>
Lexical	BLEU	0.06	0.83
	METEOR	0.05	0.90
	Parts-of-speech	0.42	0.89
Syntactic	Dependencies (HWC)	0.88	0.86
	Constituents (STM)	0.74	0.95
	Semantic Roles	0.72	0.96
Semantic	Discourse Repr.	0.92	0.92
	Discourse Repr. (PoS)	0.97	0.90



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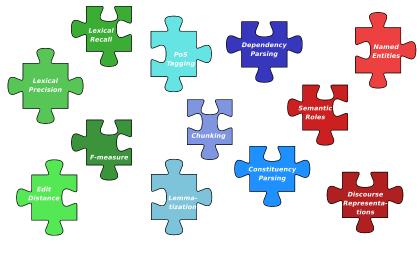
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### Towards Heterogeneous Automatic MT Evaluation



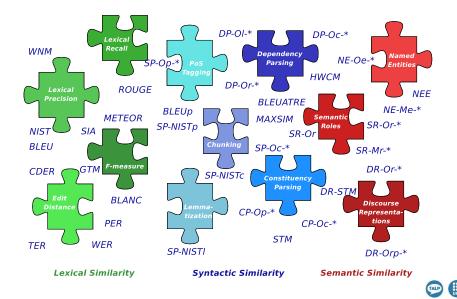
Lexical Similarity

Syntactic Similarity

Semantic Similarity



### Towards Heterogeneous Automatic MT Evaluation



- Different measures capture different aspects of similarity Suitable for combination
- Extense bibliography on learning to combine evaluation measures. Check (Giménez and Màrquez 2010) for a survey



### The Most Simple Approach: ULC

Uniformly averaged linear combination of measures (ULC):

$$ULC_M(hyp, ref) = \frac{1}{|M|} \sum_{m \in M} m(hyp, ref)$$

- Simple hill climbing approach to find the best subset of measures *M* on a development corpus
- $M = \{ `ROUGE_W', `METEOR', `DP-HWC_r', `DP-O_c(*)', `DP-O_l(*)', `DP-O_r(*)', `CP-STM_4', `SR-O_r(*)', `SR-O_{rv}', `DR-O_{rp}(*)' \}$



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## Evaluation of ULC

. . .

WMT 2008 meta-evaluation results (into-English)

Measure	$ ho_{sys}$	consistency <sub>snt</sub>
ULC	0.83	0.56
DP-O <sub>r</sub> (*)	0.83	0.51
DR-O <sub>r</sub> (*)	0.80	0.50
METEOR ranking	0.78	0.51
SR-O <sub>r</sub> (*)	0.77	0.50
METEOR baseline	0.75	0.51
PoS-BLEU	0.75	0.44
PoS-4gram-F	0.74	0.50
BLEU	0.52	
BLEU <i>stem+wnsyn</i>	0.50	0.51



# Evaluation of ULC

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WMT 2009 meta-evaluation results (into-English)

Measure	$ ho_{sys}$	consistency <sub>snt</sub>	
ULC	0.83	0.54	
maxsim	0.80	0.52	
<mark>rte</mark> (absolute)	0.79	0.53	
meteor-rank	0.75	0.49	
<mark>rte</mark> (pairwise)	0.75	0.51	
terp	-0.72	0.50	
meteor-0.6	0.72	0.49	
meteor-0.7	0.66	0.49	
bleu-ter/2	0.58		
nist	0.56	—	
wpF	0.56	0.52	
ter	-0.54	0.45	



### Portability Across Corpora

#### NIST 2004/2005 MT Evaluation Campaigns

	<b>AE</b> <sub>2004</sub>	CE <sub>2004</sub>	AE <sub>2005</sub>	CE <sub>2005</sub>
#references	5	5	5	4
$\# outputs_{\mathrm{ass.}}$	5/5	10/10	6/7	5/10
$\#$ sentences $_{ m ass.}$	347/1,353	447/1,788	266/1,056	272/1,082
Avg. Adequacy	2.81/5	2.60/5	3.00/5	2.58/5
Avg. Fluency	2.56/5	2.41/5	2.70/5	2.47/5





### Portability Across Corpora

Meta-evaluation of ULC across test beds (Pearson Correlation)

	$AE_{04}$	$CE_{04}$	$AE_{05}$	CE <sub>05</sub>
ULC ( <sub>AE04</sub> )		0.6294		0.5695
ULC ( <sub>CE04</sub> )				0.5692
ULC ( <i>AE</i> 05)				0.5706
ULC ( <sub>CE05</sub> )	0.6218	0.6208	0.5270	0.6047
Max Indiv.	0.5877	0.5955	0.4960	0.5348



## Linguistic Measures at International Campaigns

- Many MT evaluation campaigns have been conducted in the last years under NIST, WMT and IWSLT events
- Controversial results at NIST Metrics MATR08/09 Challenges, with bad results in general for linguistic-based evaluation measures
- Finding a practical robust automatic evaluation metric, which correlates well with human assessments is still an open problem



### Summary

- Evaluation methods play a crucial role
- Measuring overall translation quality is hard
   Quality aspects are heterogeneous and diverse
- What can we do?
  - $\Rightarrow$  Advance towards heterogeneous evaluation methods
  - $\Rightarrow$  Metricwise system development

Always meta-evaluate (make sure your metric fits your purpose)

 $\Rightarrow$  Resort to manual evaluation

Always conduct manual evaluations (contrast your automatic evaluations) Always do error analysis (semi-automatic)



### Talk Overview



2 Linguistically-motivated Measures

Intelligent MT output and error analysis

### Quality Estimation



### MT output and error analysis

 $\operatorname{AsiyA:}$  An Open Toolkit for Automatic MT Evaluation

- ⇒ Integrates all the evaluation measures from (Giménez and Màrquez, 2010)
- $\Rightarrow$  Goal: to facilitate a practical analysis of large and complex test suites, along several dimensions
  - > System evaluation and comparison with a rich family of metrics
  - ▷ Error analysis
  - Meta-evaluation of evaluation metrics
- $\Rightarrow\,$  Useful for MT system and evaluation metric developers
- Available and downloadable from: http://www.lsi.upc.es/~nlp/Asiya/



### MT output and error analysis

#### Recent developments

- $\Rightarrow$  ASIYA *in the cloud* (Gonzàlez et al., 2012;2013)
  - 1. ASIYA Web Service
  - 2. ASIYA Online Interface
  - **3.** ASIYA *t*SEARCH module
- $\Rightarrow\,$  Demo video at the same  $A{\rm SIYA}$  website



### Talk Overview

### Automatic MT Evaluation

2 Linguistically-motivated Measures

### Intelligent MT output and error analysis

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### Quality Estimation (QE)

- $\Rightarrow$  Estimate translation quality without reference translations
- $\Rightarrow$  Information available
  - Source sentence, candidate translation(s), and some MT system information
- $\Rightarrow$  Application scenarios
  - $\,\triangleright\,$  Informing MT end-users about estimated translation quality
  - D Quality-oriented filtering of translated texts
    - $\Rightarrow$  identify translations requiring manual post-edition
    - $\Rightarrow$  identify useful post-editions from users
  - Ranking of several translation alternatives
    - $\Rightarrow$  system selection, parameter optimization



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### **QE** approaches

- ⇒ Scoring task to predict the absolute quality of the automatic translation of an input text
  - ▷ Usually implemented as a regression function
  - Also as a direct ranking between translation alternatives
  - Supervised learning from a training set with human assessments



### Relevant work

- ⇒ Johns Hopkins University Summer Workshop, 2003 "Confidence Estimation for Machine Translation" (Blatz et al., 2003)
- $\Rightarrow$  Recent work:

(Specia et al., 2009;2010), (Soricut and Echihabi, 2010), (Giménez and Specia 2010), (Pighin et al., 2011), (Avramidis, 2012), **etc.** 

⇒ WMT 2012 shared task on Quality Estimation (Callison-Burch et al., 2012) (2nd edition at WMT 2013)



#### Features to train the QE measures

- System-dependent
- System-independent





Features to train the QE measures

- System-dependent
  - $\Rightarrow$  internal system probabilities/scores
  - $\Rightarrow$  features over *n*-best translation hypotheses
    - language modeling
    - hypothesis rank
    - score ratio
    - average hypothesis length
    - length ratio
    - center hypothesis

• System-independent



Features to train the QE measures

- System-dependent
- System-independent
  - ⇒ Source (translation *difficulty*)
    - sentence length
    - ▷ ambiguity → dictionary/alignment/WordNet-based (number of candidate translations per word or phrase)
  - ⇒ Target (translation *fluency*)
    - sentence length
    - language modeling
  - ⇒ Source-Target (translation *adequacy*)
    - length ratio
    - punctuation issues
    - $\triangleright \ \ \text{candidate matching} \rightarrow \text{dictionary-/alignment-based}$



#### **QE** challenges

- $\Rightarrow$  QE is as difficult as MT itself!
- $\Rightarrow$  Real adequacy-based QE measures are difficult to apply
  - Training sets are small
  - Involving sophisticated linguistic knowledge easily leads to severe data sparseness



### The FAUST Project (2010-2013)

- Feedback Analysis for User Adaptive Statistical Translation
- FP7-ICT-2009-4 (Language-based interaction)
- http://divf.eng.cam.ac.uk/faust
- **Goal** Develop interactive machine translation systems which adapt rapidly and intelligently to user feedback
  - Challenges in FAUST: real life MT
    - $\Rightarrow$  Open general translation
    - $\Rightarrow$  Casual users (feedback is unreliable)
    - ⇒ Non-standard and noisy translation texts
    - $\Rightarrow$  Rapid integration of feedback is required



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Task Training a combination of simple QE features to produce better predictors of translation quality on FAUST data

- Setting
  - ⇒ We used human feedback in the form of translation quality pairwise rankings. FAUST benchmark corpus:  $\sim$ 1,900 input segments (en-es), translated by 5 MT systems
  - $\Rightarrow$  Use of several feature families. Some novel
  - $\Rightarrow$  Regression vs. ranking SVM learning
  - $\Rightarrow$  Evaluation in terms of:
    - Correlation of the predicted rankings with the gold standard
    - Selection of the best translation (system combination)



We considered features from 4 different families

- 1. Specia Baseline (17) (Specia et al., 2010)
  - ▷ token counts and their ratio, LM probabilities, *n*-grams filtered by quartiles, punctuation marks and fertility ratios
- 2. ASIYA QE features (26) (Gonzàlez et al., 2012)
  - bilingual dictionary ambiguity and overlap; overlap ratios on chunks, named-entities and PoS; source and candidate language model perplexities and inverse perplexities over lexical forms, chunks and PoS and out-of-vocabulary word indicators
- 3. Features based on adapted Language Models (2)
  - Words and POS tags. Interpolation weights were computed as to minimize the perplexity according to the Spanish FAUST development set



We considered features from 4 different families

- 4. Pseudo-reference based features (Soricut and Echihabi, 2010)
  - Idea: automatically produced translations by other systems are taken as references
  - Rationale: if system X produced a translation A and system Y produced a translation B starting from the same input, and A and B are similar and X and Y are different systems, then A is probably a good translation
  - ▷ Calculated with BLEU, NIST, METEOR, etc. (5) but also with the linguistic-based metrics from ASIYA (23)



- Main Results on FAUST test data
  - $\Rightarrow\,$  It is possible to learn reasonably good QE models from the FAUST annotated corpus, exhibiting fair correlation with the gold-standard rankings
  - $\Rightarrow\,$  For the system selection task, pairwise ranking yields better results than regression
  - ⇒ Results are clearly over the baselines. They are also slightly over the system-informed Oracle-D(ominant)
  - $\Rightarrow$  All proposed extensions of the basic feature set were useful to boost the quality of the QE modelssystem selection task



### Learning Quality Estimation measures (FAUST)

- Quality of the predicted rankings
  - $\Rightarrow$  Spearman correlation ( $\rho$ ): 33.86 **38.43**
  - $\Rightarrow$  Kendall correlation ( $\tau$ ): 29.67 **33.02**
  - $\Rightarrow$  Accuracy of pairwise rankings: 44.67 58.11
  - $\Rightarrow$  Accuracy at predicting best translation: 39.44 51.11

• Results on the system selection task



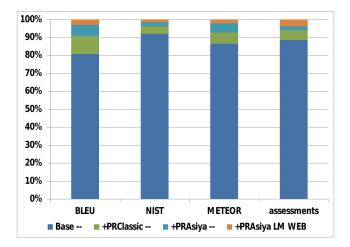
## Learning Quality Estimation measures (FAUST)

- Quality of the predicted rankings
  - $\Rightarrow$  Spearman correlation ( $\rho$ ): 33.86 **38.43**
  - $\Rightarrow$  Kendall correlation ( $\tau$ ): 29.67 **33.02**
  - $\Rightarrow$  Accuracy of pairwise rankings: 44.67 58.11
  - $\Rightarrow$  Accuracy at predicting best translation: 39.44 51.11
- Results on the system selection task

	Baseline	Ranker	OracleD	OracleB
Bleu	33.64	38.28	37.57	44.91
Meteor	48.34	<b>54.19</b>	54.09	58.15
NIST	33.64	38.28	37.57	44.91







Contribution of every family of features



### Thank you!

# Automatic Evaluation of Machine Translation Quality

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